

## DBE Corporate & Departmental Risks (*Planning & Transportation Committee*)

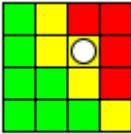
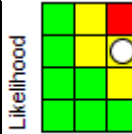

## APPENDIX 2

**Report Author:** Richard Steele

Generated on: 3 March 2017

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Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR20a Joint Safer Transport Team	Implement a joint City of London Corporation & City of London Police Road Safety/Safer Transport Team	We work in close partnership with the City Police and at the February meeting of the Road Danger Reduction Partnership it was agreed to develop a joint work programme for the financial year 2017/18. The proposed work programme will be presented to the Planning and Transportation Committee on March 21st and the due date has been adjusted accordingly.	Steve Presland	02-Mar-2017	31-Mar-2017
CR20b Permanent Bank Junction redesign	Permanent Bank Junction redesign	Still on track.	Steve Presland	02-Mar-2017	30-Nov-2018
CR20c Interim Bank Junction redesign	Working with TfL to explore and, where practicable, deliver short term design/operational improvements to Bank Junction	<p>The tender for the enforcement cameras for the scheme is drawing to a close and foundations for the new sign posts are already being installed. Letters to local businesses has been sent as a formal notice that the scheme will be going ahead.</p> <p>The implementation is likely to slip into May. We are working with TfL around traffic signals issues and also monitoring the programme of work on Cannon Street which has been delayed due to emergency National Grid works. We require a brief window between Canon Street re-opening and Bank going live to complete the baseline data monitoring.</p>	Steve Presland	02-Mar-2017	30-Apr-2017
CR20d Road Safety Communications Strategy	Work with the Corporation's Communications Office to deliver a Road Safety Communications Strategy	The Communications Plan was presented to the Road Danger Reduction Partnership Board in February which requested some changes in presentation before it is presented to the Planning and Transportation Committee on March 21st.	Steve Presland	02-Mar-2017	31-Mar-2017
CR20e City Contracts	Explore embedding vehicle and driver safety in all City of London Corporation contracts	<i>ACTION COMPLETE. Vehicle and driver safety now a requirement in the City of London Responsible Procurement Strategy.</i>	Steve Presland	18-Oct-2016	30-Sep-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
<b>DBE-DS-01</b> <b>The Division becomes too small to be viable</b>  25-Mar-2015 Bill Welch	Cause: Reduced Income causes the service to be unviable Event: Development market fails to maintain momentum or our market share shrinks Impact: Reduced staffing levels do not provide adequate breadth of knowledge and experience	 Likelihood Impact	12	There is a marginal reduction in the likelihood of this occurring.  (a) Consulting with LABC & neighbouring Local Authorities has commenced and is on-going; (b) Undertaking options review to commence in March 2017. The Due Date has been adjusted accordingly  <b>24 Feb 2017</b>	 Likelihood Impact	12	31-Jul-2017	  No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
DBE-DS-01a Business as usual mitigating controls	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities; (4) Continue to undertake cross-boundary working.		Bill Welch		31-Dec-2999
DBE-DS-01b Building Control business model review	Consider Options for Change	(a) Consulting with LABC & neighbouring Local Authorities has commenced and is on-going; (b) Undertaking options review to commence in March 2017. The Due Date has been adjusted accordingly	Bill Welch	24-Feb-2017	31-Jul-2017

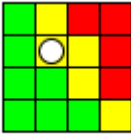
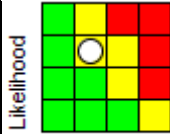

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
<b>DBE-PP-01</b> <b>Adverse planning policy context</b>  06-Mar-2015 Paul Beckett	Cause: A desire in Government and others to change the existing planning system in a way which may be detrimental to the City  Event: Changes detrimental to the City are implemented  Impact: Adverse changes cannot be prevented using local planning control	<div> <div>Likelihood</div> <div>Impact</div> </div>	12	Content of Government's Housing White Paper needs assessment and response by May 2017.  <b>09 Feb 2017</b>	<div> <div>Likelihood</div> <div>Impact</div> </div>	12		  No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
DBE-PP-01a Business as usual mitigating controls	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, Housing and Planning Bill		Paul Beckett		31-Dec-2999

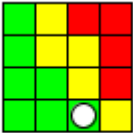
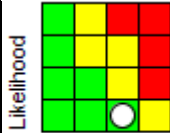

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
<b>DBE-02 Service/Pipe Subways</b> 02-Dec-2015 Giles Radford	<p>Cause: Provide safe access and egress for utilities and maintenance functions, whilst having operatives entering the confined space to undertake checks.</p> <p>Event: A lack of Oxygen, poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces, Fire and explosions, hot conditions, Entrapment and falling debris.</p> <p>Impact: Fatality / Major Injury / Illnesses</p>	<div> <div>Likelihood</div> </div> <div> <div>Impact</div> </div>	8	COP complete - No further mitigations are possible.  <b>22 Feb 2017</b>	<div> <div>Likelihood</div> </div> <div> <div>Impact</div> </div>	8	31-Dec-2016	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
DBE-02a Business As Usual Mitigations	<p>Confined space working is avoided when possible.</p> <p>All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. The following PPE and equipment shall be provided, as stated in the approved code of practice</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p>		Giles Radford		31-Dec-2999

	<p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>				
DBE-02b Update Code of Practice	Revisit and update the approved code of practice working with other Local Authorities who have pipe subways.	COP went live in December 2016	Giles Radford	22-Feb-2017	31-Dec-2016
DBE-02c Permit to Enter application form	Update Permit to Enter application form to improve clarity and reduce incorrect completion	[COMPLETED]	Steve Presland	19-Apr-2016	01-Mar-2016
DBE-02d Web presence	Publish an extranet page that includes all relevant documentation to ensure that utilities have access to up-to-date documents at all times. This will also include an on-line booking form.	[COMPLETED]	Giles Radford	26-Aug-2016	30-Apr-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
<b>DBE-PL-02</b> <b>Not being alive to the needs/requirements of the world business centre and the political environment</b> 23-Mar-2015 Annie Hampson	Cause: Staff are badly briefed in relation to the planning development needs of the City as a world business centre  Event: Perception that we are not responsive to the planning development needs of the City as a world business centre  Impact: The City's reputation suffers and we fail to deliver buildings that meet the needs of the City as a world business centre	 Likelihood Impact	6	Risk unchanged. This will be reviewed further post Article 50.   <b>01 Mar 2017</b>	 Likelihood Impact	6		   No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
DBE-PL-02a Business as usual mitigating controls	(1) Continue to work closely with other parts of the department; the City Property Advisory Team; other City of London Departments; & the Greater London Authority. (2) Attendance at MIPIM.		Annie Hampson		31-Dec-2999

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<b>DBE-TP-03</b> <b>Major Projects and key programmes not delivered as TfL funding not received</b> 27-Mar-2015 Steve Presland	Cause: City of London fail to bid at the appropriate time or City of London lose credibility with TfL or Reduced funding from TfL Event: TfL funding for Local Investment Plan ceased or significantly reduced Impact: Unable to deliver highway investment & improvement programmes	 Likelihood	4	Meetings preparing to conclude FY16/17 have already taken place. FY17/18 programme being loaded on the TfL Portal.  <b>08 Feb 2017</b>	 Likelihood	4	30-Apr-2017	  No change

Action no, Title,	Description	Latest Note			Managed By	Latest Note Date	Due Date
DBE-TP-03a TfL interactions	Agree TfL interactions timetable	COMPLETED			Steve Presland	25-Aug-2016	30-Apr-2016
DBE-TP-03b TfL meetings	Conduct quarterly meetings with TfL-	Meetings preparing to conclude FY16/17 have already taken place. FY17/18 programme being loaded on the TfL Portal.			Steve Presland	08-Feb-2017	30-Mar-2017